

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Sector 111

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/505,949

Filing Date

February 15, 2000

First Named Inventor

Michael Chow

Group Art Unit

2783

Examiner Name

Total Number of Pages in This Submission

16

Attorney Docket Number

042390.P6447

**ENCLOSURES (check all that apply)**

- Fee Transmittal Form
 Fee Attached
 Amendment / Response
 After Final
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
 Drawing(s)
 Licensing-related Papers
 Petition Routing Slip (PTO/SB/69) and Accompanying Petition
 To Convert a Provisional Application
 Power of Attorney, Revocation Change of Correspondence Address
 Terminal Disclaimer
 Small Entity Statement
 Request for Refund

- After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Additional Enclosure(s) (please identify below):

Request for Correction of Filing Receipt in duplicate (4 pgs);
copy of Filing Receipt (1 pg);
return postcard (1 pg).

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas M. Coester, Reg. No. 39,637 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
-------------------------	--

Signature	<i>Thomas Coester</i>
-----------	-----------------------

Date	April 24, 2000
------	----------------

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **April 24, 2000**

Typed or printed name	Laura Harmon	Date	04/24/00
Signature	<i>Laura Harmon</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL		<i>Complete if Known</i>	
<small>Petent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB-09-12. See 37 C.F.R. §§ 1.28 and 1.28.</small>		Application Number	09/505,949
		Filing Date	02/15/00
		First Named Inventor	Michael Chow, et al.
		Examiner Name	
		Group Art Unit	2783
TOTAL AMOUNT OF PAYMENT		(\$)	130.00
		Attorney Docket Number	042390.P6447



METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account 02-2666 Number Deposit Account Blakely, Sokoloff, Taylor & Zafman LLP Name <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)		3. ADDITIONAL FEE <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee Code</td> <td>Fee (\$)</td> <td></td> </tr> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65 Surcharge - late filing fee or oath</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25 Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130 Non-English specification</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520 For filing a request for reexamination</td> </tr> <tr> <td>112</td> <td>920</td> <td>112</td> <td>920 Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>113</td> <td>1,840</td> <td>113</td> <td>1,840 Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55 Extension for response within first month</td> </tr> <tr> <td>116</td> <td>380</td> <td>216</td> <td>190 Extension for response within second month</td> </tr> <tr> <td>117</td> <td>870</td> <td>217</td> <td>435 Extension for response within third month</td> </tr> <tr> <td>118</td> <td>1,360</td> <td>218</td> <td>680 Extension for response within fourth month</td> </tr> <tr> <td>128</td> <td>1,850</td> <td>228</td> <td>925 Extension for response within fifth month</td> </tr> <tr> <td>119</td> <td>300</td> <td>219</td> <td>150 Notice of Appeal</td> </tr> <tr> <td>120</td> <td>300</td> <td>220</td> <td>150 Filing a brief in support of an appeal</td> </tr> <tr> <td>121</td> <td>260</td> <td>221</td> <td>130 Request for oral hearing</td> </tr> <tr> <td>138</td> <td>1,360</td> <td>138</td> <td>1,360 Petition to institute a public use proceeding</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55 Petition to revive - unavoidably</td> </tr> <tr> <td>141</td> <td>1,210</td> <td>241</td> <td>605 Petition to revive - unintentionally</td> </tr> <tr> <td>142</td> <td>1,210</td> <td>242</td> <td>605 Utility issue fee (or reissue)</td> </tr> <tr> <td>143</td> <td>430</td> <td>243</td> <td>215 Design issue fee</td> </tr> <tr> <td>144</td> <td>580</td> <td>244</td> <td>290 Plant issue fee</td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130 Petitions to the Commissioner</td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50 Petitions related to provisional applications</td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240 Submission of Information Disclosure Stmt</td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40 Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td>146</td> <td>760</td> <td>246</td> <td>380 Filing a submission after final rejection (37 CFR 1.129(a))</td> </tr> <tr> <td>149</td> <td>760</td> <td>249</td> <td>380 For each additional invention to be examined (37 CFR 1.129(b))</td> </tr> <tr> <td colspan="2">Other fee (specify) _____</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">Other fee (specify) _____</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">SUBTOTAL (1) (\$)</td> <td colspan="2">SUBTOTAL (3) (\$) 130.00</td> </tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee Code	Fee (\$)		105	130	205	65 Surcharge - late filing fee or oath	127	50	227	25 Surcharge - late provisional filing fee or cover sheet	139	130	139	130 Non-English specification	147	2,520	147	2,520 For filing a request for reexamination	112	920	112	920 Requesting publication of SIR prior to Examiner action	113	1,840	113	1,840 Requesting publication of SIR after Examiner action	115	110	215	55 Extension for response within first month	116	380	216	190 Extension for response within second month	117	870	217	435 Extension for response within third month	118	1,360	218	680 Extension for response within fourth month	128	1,850	228	925 Extension for response within fifth month	119	300	219	150 Notice of Appeal	120	300	220	150 Filing a brief in support of an appeal	121	260	221	130 Request for oral hearing	138	1,360	138	1,360 Petition to institute a public use proceeding	140	110	240	55 Petition to revive - unavoidably	141	1,210	241	605 Petition to revive - unintentionally	142	1,210	242	605 Utility issue fee (or reissue)	143	430	243	215 Design issue fee	144	580	244	290 Plant issue fee	122	130	122	130 Petitions to the Commissioner	123	50	123	50 Petitions related to provisional applications	126	240	126	240 Submission of Information Disclosure Stmt	581	40	581	40 Recording each patent assignment per property (times number of properties)	146	760	246	380 Filing a submission after final rejection (37 CFR 1.129(a))	149	760	249	380 For each additional invention to be examined (37 CFR 1.129(b))	Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (1) (\$)		SUBTOTAL (3) (\$) 130.00	
Large Entity	Small Entity	Fee Description	Fee Paid																																																																																																																												
Fee Code	Fee Code	Fee (\$)																																																																																																																													
105	130	205	65 Surcharge - late filing fee or oath																																																																																																																												
127	50	227	25 Surcharge - late provisional filing fee or cover sheet																																																																																																																												
139	130	139	130 Non-English specification																																																																																																																												
147	2,520	147	2,520 For filing a request for reexamination																																																																																																																												
112	920	112	920 Requesting publication of SIR prior to Examiner action																																																																																																																												
113	1,840	113	1,840 Requesting publication of SIR after Examiner action																																																																																																																												
115	110	215	55 Extension for response within first month																																																																																																																												
116	380	216	190 Extension for response within second month																																																																																																																												
117	870	217	435 Extension for response within third month																																																																																																																												
118	1,360	218	680 Extension for response within fourth month																																																																																																																												
128	1,850	228	925 Extension for response within fifth month																																																																																																																												
119	300	219	150 Notice of Appeal																																																																																																																												
120	300	220	150 Filing a brief in support of an appeal																																																																																																																												
121	260	221	130 Request for oral hearing																																																																																																																												
138	1,360	138	1,360 Petition to institute a public use proceeding																																																																																																																												
140	110	240	55 Petition to revive - unavoidably																																																																																																																												
141	1,210	241	605 Petition to revive - unintentionally																																																																																																																												
142	1,210	242	605 Utility issue fee (or reissue)																																																																																																																												
143	430	243	215 Design issue fee																																																																																																																												
144	580	244	290 Plant issue fee																																																																																																																												
122	130	122	130 Petitions to the Commissioner																																																																																																																												
123	50	123	50 Petitions related to provisional applications																																																																																																																												
126	240	126	240 Submission of Information Disclosure Stmt																																																																																																																												
581	40	581	40 Recording each patent assignment per property (times number of properties)																																																																																																																												
146	760	246	380 Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																												
149	760	249	380 For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																												
Other fee (specify) _____																																																																																																																															
Other fee (specify) _____																																																																																																																															
SUBTOTAL (1) (\$)		SUBTOTAL (3) (\$) 130.00																																																																																																																													
2. EXTRA CLAIM FEES Extra Claims Fee from below Fee Paid Total Claims 19 - 20** = 0 X 18.00 = \$0.00 Independent Claims 4 - 4** = 0 X 78.00 = \$0.00 Multiple Dependent Claims = \$0.00 <small>*or number previously paid, if greater; For Reissues, see below</small>		Large Entity Small Entity Fee Code (\$) 103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3 104 270 204 135 Multiple Dependent claim 109 78 209 39 **Reissue independent claims over original patent 110 18 210 9 **Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) 0.00																																																																																																																													

* Reduced by Basic Filing Fee Paid

SUBMITTED BY			<i>Complete (if applicable)</i>	
Typed or Printed Name	Thomas M. Coester, Reg. No. 39,637			Reg. Number
Signature	<i>Thomas Coester</i>	Date	04/24/00	Deposit Account User ID
				02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

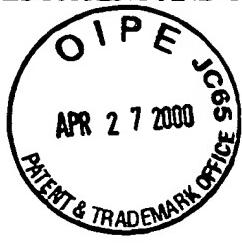
In re the Application of:

MICHAEL CHOW, ET AL.

Application No.: 09/505,949

Filed: February 15, 2000

For: **METHOD AND APPARATUS FOR ACHIEVING
ARCHITECTURAL CORRECTNESS IN A
MULTI-MODE PROCESSOR PROVIDING**



Assistant Commissioner for Patents
Attention: Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed April 14, 2000, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
- (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Thomas Coester

Thomas M. Coester, Reg. No. 39,637

Dated: April 24, 2000

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: April 24, 2000

04/24/00

Blakely, Sokoloff, Taylor & Zafman
Date

#3

FORMALITIES LETTER



OC00000005050537

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
--------------------	---------------------	-----------------------	------------------------

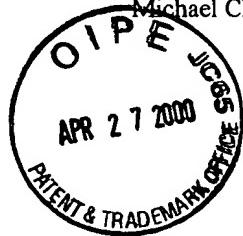
09/505,949

02/15/2000

Michael Chow

042390.P6447

Blakely Sokoloff Taylor and Zafman LLP
12400 Wilshire Boulevard Seventh Floor
Los Angeles, CA 90025



Date Mailed: 04/14/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/28/2000 NPRASAS0 00000059 09505949

01 FC:105

130.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael Chow, et al.

Serial No.: 09/505,949

Filed: February 15, 2000

For: METHOD AND APPARATUS FOR
ACHIEVING ARCHITECTURAL
CORRECTNESS IN A MULTI-MODE
PROCESSOR PROVIDING FLOATING-
POINT SUPPORT



Examiner: Not Yet Assigned

Art Group: 2783

REQUEST FOR CORRECTION OF FILING RECEIPT

The Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

We have received the official Filing Receipt for the above-identified U.S. Patent Application (copy enclosed). Upon our review, we have discovered that the residence addresses of the inventors is incorrect. The receipt indicates the addresses for each inventor as:

"Residence Not Provided."

The addresses should be corrected, pursuant to the Declaration and Power of Attorney being filed concurrently herewith, to read as follows:

"Michael Chow, 18621 Barnhart Avenue, Cupertino, California 95014 USA;

Elango Ganesan, 1276 Vicente Drive, Apt. B, Seiad Valley, California 96086 USA;

John William Phillips, 122 East Creek Drive, #5B, Menlo Park, California 94025

USA; and

Nazar Abbas Zaidi, 1525 Taiwan Drive, San Jose, California 95131 USA."

Please correct your records to reflect the correct addresses, and send us a corrected filing receipt.

If there are any additional charges, please charge them to Account No. 02-2666. A duplicate copy of this letter is enclosed. Please direct all communications regarding this matter to the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: April 24, 2000



Thomas M. Coester, Reg. No. 39,637

12400 Wilshire Boulevard, Seventh Floor
Los Angeles, California 90025
(310) 207-3800

FILING RECEIPT



OC00000005050536

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

ENT INTEL 42390

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	----------------	----------	------------	------------

09/505,949 02/15/2000 2783 768 042390.P6447 3 19 4

Blakely Sokoloff Taylor and Zafman LLP
12400 Wilshire Boulevard Seventh Floor
Los Angeles, CA 90025

RECEIVED ENTERED

APR 18 2000

APR 18 2000

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELESDate Mailed: 04/14/2000
STATUS DB-LA

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael Chow, Residence Not Provided;
Elango Ganesan, Residence Not Provided;
John William Phillips, Residence Not Provided;
Nazar Abbas Zaidi, Residence Not Provided;

RECEIVED

RECEIVED
APR 18 2000
JC

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/13/2000

RECEIVED
APR 18 2000
JC

**

Title

Method and apparatus for achieving architectural correctness in a multi-mode processor providing floating-point support

RECEIVED
APR 18 2000
JC

Preliminary Class

712

RECEIVED
APR 18 2000
JC

Data entry by : DURHAM, DESHAWN

Team : OIPE

Date: 04/14/2000

